Transaction Instruction Slip (SIP)

NJ India Invest Pvt. Ltd.

Block No. 901 & 902, 9th Floor, 'B' Tower, Udhna Udyog Nagar Sangh Commercial Complex, Central Road No. 10, Udhna, Surat - 394210, Gujarat. Fields Mark with (*) are mandatory. SIP TAR No: *Date **Client Details:** *Client Name: *Demat Account No: 1 2 0 6 4 *Unique Client Code (UCC): Scheme Option SIP Top Up (Please tick any one below option) Frequency *Scheme Name SIP SIP Top Up (Half Yearly/ No. Frequency Amount DP DR GR Yearly) 1. Monthly 2. Monthly 3. Monthly Scheme SIP Date No. of Installments SIP Amount Brokerage Rate (%) **Brokerage Amount** Total Scheme 1 Scheme 2 Scheme 3 Total Amount To Be Debited From Bank (Scheme1 + Scheme2 + Scheme3): *Service Category (Please tick any one option): Execution OR Advisory **Bank Detail:** *Bank Name: * Mandate Number: *Account Number: ** System generated mandate number can be change at the time of authorization, auto selection of mandate is subject to available mandate limit at the time of SIP authorization I/We have read and agreed to the SIP Terms and Conditions and will be solely responsible for any consequences in case of not adhering to any instructions mentioned in the Terms & Conditions. *Client Signature: - For NJ Office Use Only . Date: CRO Signature: Acknowledgement Receipt Client Name: Total SIP Amount: Date: Unique Client Code (UCC): Time: (12 Hrs. Format) This is an acknowledgment that the TIS is received at NJ PSC on the Date & Time mentioned above. However Transaction will be processed Signature/Stamp of NJ PSC subject to the payment clearance & successful outcome of the verification of the TIS by NJ official.



TERMS & CONDITIONS (SIP)

General:

- 1. The Client submitting the Transaction Instruction Slip to NJ India Invest Private Limited (NJ) shall all the time abide by these Terms and Conditions.
- 2. The SIP will be registered pursuant to the details given in the TIS (Transaction Instruction Slip) & incomplete TIS or incorrect information may be subject to rejection by NJ.
- 3. NJ will check the correctness of TIS & other documents submitted before registration of Client SIP(s).
- 4. Amount debited from Client's bank account for SIP transaction(s) shall include Brokerage charges, if any.
- 5. The Client should ensure that the Bank details mentioned in the TIS is the same as registered in Client's NJ E-Wealth Account.
- 6. Any correction / alteration in the TIS should be counter signed by the Client.
- 7. Signature on TIS should match with signature of the Trading & Demat Account opening form.
- 8. Client can register for the SIPs which are offered by NJ & are available on Exchange(s).
- 9. It would be the Client's responsibility to confirm with the minimum investment criteria, Frequency & Dates before submitting the TIS. Any AMC(s)/schemes which are not offered by NJ & are not available on Exchange(s) shall be rejected.
- 10. The Brokerage rate specified on the TIS will be considered final for specified SIP(s).
- 11. "SIP shall commence after 40 days from the date of mandate status as "Under Process" & as per the selection of SIP Start Date. e.g. Mandate is submitted on 10-12-2010 & the SIP Start Date is 15th, Then the SIP start date will be 15-02-11."
- 12. The SIP will be registered on Exchange(s) and the SIP transaction(s) will commence on the Exchange(s) platform only on receiving approval of Mandate registration by the service provider to NJ.
- 13. Depending upon location of clients bank branch, Client's Bank Account shall be debited before 3 to 5 working days prior to SIP transaction date on Exchange(s). eg: if the SIP transaction date is 15th of every month, client bank account shall get debited between 10th to 12th date.
- 14. In case if client SIP fund is realized in NJ account after SIP due date, Client's SIP transaction will be canceled by NJ and such transaction shall be processed as "Purchase" on the date of realization of the funds. If for any reason, "Purchase" transaction could not be processed, the funds will be refunded to Client's bank account.
- 15. If the transaction(s) are delayed / not processed due to any reason including delay / non realization of the funds to NJ India Invest Pvt Ltd from client's bank account, NJ owes no responsibility to client or any third party, whatsoever.
- 16. If the transaction(s) are delayed / not processed due to any reason on the SIP due date, no back dated NAV will be given in any circumstances whatsoever.
- 17. In the case, where Bank Mandate is already registered and Client desires to start additional SIP against the existing Mandate Limit, SIP start date will be after 10 working days from the date of submission of TIS at NJ PSC as per the SIP Start Date selected (applicable in case an open mandate is given).
- 18. Maximum Upper Limit: Cumulative SIP amount on a particular date shall not exceed the Upper limit mentioned on the Bank Mandate. Eg: if the upper limit mentioned on the Bank mandate is Rs10,000 & investor has register 3 SIP of Rs 5000/- each on 1st 15th & 28th of every month, all the SIP will be successfully registered. But he cannot register a Single SIP of Rs11000/- on a particular date.
- 19. The Top Up SIP will be auto registered by NJ. Brokerage rate available in system will be applicable on Top up SIP.
- 20 If the AMC discontinues subscription option in any scheme, registered SIP(s) of such scheme will be canceled by NJ without any intimation.
- 21 In case of SIP cancellation, all Top up SIPs will also be canceled.
- 22 Mandate priority will be decided by NJ.
- 23 NJ reserves rights to change the terms and conditions from time to time.
- 24. All the disputes are subject to Surat Jurisdiction only.

Client Details:		
*Partner Code	* Client Signature:	
ARN Holder's Name:	Signature:	