

TRANSACTION INSTRUCTION SLIP (FOR SWITCH)

NJ India Invest Pvt. Ltd.
NJ Centre, "B" Tower, Udhna Udhog Nagar Sangh Commercial Complex, Central Road No.10, Udhna, Surat - 394210

*Date:

TAR No:

Client Details:

*Client Name:

*Unique Client Code (UCC):

*Demat Account No:

Switch-Out Transaction Details:

Sr. No.	**Folio No (Mandatory for physical holdings)	*Switch-Out Scheme Name	*Option		
			DP	DR	GR
1.					

**Folio number field will be mandatory for physical units Switch.

Switch-In Transaction Details:

Sr. No.	*Switch-In Scheme Name	*Option			Amount / All units
		DP	DR	GR	
1.					

Switch-In Execution (Tick any one) : T day Fund realization

Maximum ₹199,999/- lakh is allowed for T day option

*Service Category (Please tick any one option):

Execution OR Advisory

I / We have read and agreed to terms and conditions mentioned overleaf and will be solely responsible for any loss in case of not adhering to any instructions mentioned in the overleaf Terms & Conditions.

*Partner Code:

Client Signature:

For NJ Office use only

Date:

CRO Signature:

Acknowledgment

Client Name:

Unique Client Code (UCC):

TAR No:

This is an acknowledgement that the TIS is received at NJ PSC on the Date & Time mentioned above. However Transaction will be processed subject to the payment clearance & successful outcome of the verification of the TIS by NJ official.

Signature/Stamp of NJ PSC



FINANCIAL
PRODUCTS
DISTRIBUTORS
NETWORK

TERMS & CONDITIONS (FOR SWITCH)

General :

1. The Client submitting the Transaction Instruction Slip to NJ India Invest Private Limited (NJ) shall all the time abide by these Terms and Conditions.
2. The Client shall mention the UCC allotted to him and the order shall be placed in the name as appearing in the rading / Demat Account for that UCC.
3. The order will be processed as per the details given in the TIS (Transaction Instruction Slip) & any rejection due to incomplete TIS or incorrect information would be the sole responsibility of the Client.
4. Any correction / alteration in the TIS should be counter signed by the Client.
5. Signature should match with signature appearing in the Trading Account opening form.

6. Defination:

T day =Both Switch-Out & Switch-In transactions are executed on same day

Fund realization = Switch-In transaction is executed on receipt of Switch-Out redemption amount

Transactions :

7. All the orders submitted before 1:00 p.m. will be on the best efforts basis processed on the same day.
8. The Cut-off time may differ from PSC to PSC & will be subject to change as per NJ's discretion from time to time. The Client shall confirm the cut-off time with the PSC before placing the order.
9. The Switch transaction type is offered by NJ India Invest to its Trading Account clients and is not offered by AMC or Exchange.
10. Switch Transaction given by client to NJ is processed as two separate transaction ie Redemption & Purchase on Exchange.
11. If the redemption transaction is rejected by Exchange, purchase transaction will be auto rejected by NJ on Exchange without any further intimation to client.
12. If client has opted for "Fund realization" option the amount received from switch out transaction will be invested in switch-in scheme after deduction of applicable charges & Brokerage.
13. If client has opted for "T" day option the amount invested in switch-in scheme will be after calculating the brokerage and charges if any.
14. Switch-In amount, brokerage, transaction charges if any will be deducted from received switch out amount, remaining amount will be maintained in client running account & settled/credited on the defined frequency.
15. Switch/STP running balance will be refunded to client's default bank registered with Trading Account.
16. Scheme investment criteria of purchase & redemption are applicable.
17. Switch is allowed with "fund realization" option in case of switch done from physical folio.
18. For Switch transaction from physical folio also, Switch-In will be in Demat mode only.
19. By accepting the terms & conditions client agrees to pay applicable transaction charges.
20. If the transaction(s) are delayed / not processed due to any reason, no back dated NAV will be given in any circumstances whatsoever.
21. NJ reserves rights to change the terms and conditions from time to time.
22. Any dispute shall be subject to jurisdiction of Court at Surat only.